



IN THE UNITED STATES PATENT OFFICE

Serial No.: Not yet assigned

Filed: Herewith

For: METHOD AND DEVICE FOR
CARRYING OUT OPTICAL PICK UP

Inventor: Rudger Rubbert

Atty Doc. No.: 59-99

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DATE OF DEPOSIT March 1, 1999

I HEREBY CERTIFY THAT THIS PAPER IS
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Elizabeth Oister

SIGNATURE: *Elizabeth Oister*

EXPRESS MAIL COVER LETTER

Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

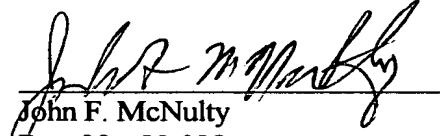
Enclosed and attached hereto are the following documents:

- (1) Specification (19 pages);
- (2) Claims (3 pages);
- (3) Declaration and Power of Attorney, unsigned (2 pages);
- (4) German language application as filed;
- (5) One sheet of drawings (triplicate);
- (6) Copy of Request in German and English;
- (7) Copy of Notification of the Recording of a Change;
- (8) First page of published International application;

- (9) Copy of International Search Report;
- (10) Copy of International Preliminary Examination Report;
- (11) Preliminary Amendment;
- (12) Information Disclosure Statement with PTO 1449;
- (13) Transmittal form (duplicate);
- (14) Check in the amount of \$1698.00 application filing fee;
- (15) Express Mail cover letter, and
- (16) Paul & Paul postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY OVERPAYMENT, TO PAUL & PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO. 2080.

Respectfully submitted,



John F. McNulty
Reg. No. 23,028
Paul & Paul
2900 Two Thousand Market St.
Philadelphia, PA 19103
(215) 568-4900

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

59-99

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/254078

INTERNATIONAL APPLICATION NO.

PCT/DE97/01796

INTERNATIONAL FILING DATE

August 19, 1997

PRIORITY DATE CLAIMED

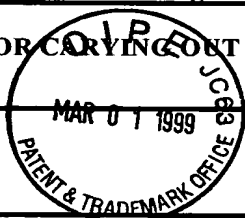
September 2, 1996

TITLE OF INVENTION

METHOD AND DEVICE FOR CARRYING OUT OPTICAN PICK UP

APPLICANT(S) FOR DO/EO/US

Rudger Rubbert



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Copy of Notification of Recording of a Change

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/DE97/01796	ATTORNEY'S DOCKET NUMBER 59-99
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20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- | | |
|--|-----------------|
| <input type="checkbox"/> Search Report has been prepared by the EPO or JPO | \$840.00 |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) | \$670.00 |
| <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) | \$760.00 |
| <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$970.00 |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) | \$96.00 |

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	46 - 20 =	26	x \$18.00	\$468.00	
Independent claims	1 - 3 =	0	x \$78.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>				\$260.00	

TOTAL OF ABOVE CALCULATIONS = \$1,698.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

SUBTOTAL = \$1,698.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

TOTAL NATIONAL FEE = \$1,698.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

TOTAL FEES ENCLOSED = \$1,698.00

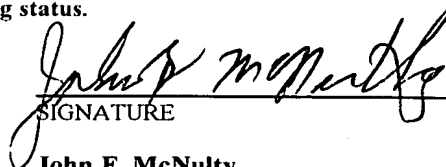
Amount to be: refunded	\$
charged	\$

- ☒ A check in the amount of **\$1,698.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **16-0750** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

John F. McNulty, Esquire
Paul & Paul
2900 Two Thousand Market Street
Philadelphia, PA 19103
(215) 568-4900


SIGNATURE

John F. McNulty

NAME

23,028

REGISTRATION NUMBER

March 1, 1999

DATE